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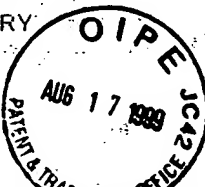
Sector

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
097324,149	06/02/99	WEISS	S IB-1402

008076-0262/0630
PAUL R. MARTIN
LAWRENCE BERKELEY LABORATORY
ONE CYCLOTRON ROAD
MS 50A 6140
BERKELEY CA 94720

NOT ASSIGNED

2875



DATE MAILED:

06/30/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of **\$65.00** for a small entity in compliance with 37 CFR 1.27, or **\$130.00** for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 65.00

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
 - ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:

- ☐ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

Minor Weiss
An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(f)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Heila Klonas T-6
Customer Service Center
Initial Patent Examination Division (703) 308-1202

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE IB-1402



In Re Application of: Shimon Weiss et al.

Serial No.: 09/324,149
Filed: June 2, 1999
For: Use Of Optically Pumped Luminescent Semiconductor Nanocrystals
For Electronic Displays

Commissioner of Patents and Trademarks
Washington, DC 20231

We enclose herewith the signed Declaration for the subject patent application, for which we have received a Notice To File Missing Parts (copy enclosed).

Please charge Deposit Account No. 12-0690 in the amount of \$65.00. A duplicate copy is enclosed for fee processing.

Date: 8/4/99

Respectfully submitted,

Paul R. Martin
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(510) 495-2839

Enclosure(s):

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service
with sufficient postage as first class
mail in an envelope addressed to the Commissioner for
Patents, Washington, D.C. 20231.

Date: Aug 5 1999

FACSIMILE

Date: _____
☐ transmitted by facsimile

to the Patent and Trademark Office.

Hadiyah Muhammad
Signature

Hadiyah Muhammad

(type or print name of person certifying)